

## Common Application Form

App. No.

Time Stamp

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
98691			E-116447	

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

**Transaction Charges:** SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-.

If this is the first time, you are investing in any mutual fund, please tick here ☐

**Investor's Declaration where EUIN is not furnished:** I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

☐ Sole/1st Applicant

☐ 2nd Applicant

☐ 3rd Applicant
**1. EXISTING UNIT HOLDER'S INFORMATION** (If you hold a Folio with L&T Mutual Fund, please furnish the below information and move to Investment & Payment Information section.)

Name of Sole/1st Unit Holder ☐ Mr. ☐ Ms. ☐ M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_ Folio No. \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^             Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_

**2. NEW APPLICANT(S) PERSONAL INFORMATION**

Name of 1st/Sole Applicant ☐ Mr. ☐ Ms. ☐ M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^             (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_

**Guardian (For Minor Investments) / Contact Person (For Non-Individuals)**

Name ☐ Mr. ☐ Ms. ☐ M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^             (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_

**Relationship with Minor Applicant****Proof of Date of Birth****Proof of the Relationship with minor**
☐ Natural Guardian

☐ Birth Certificate Copy

☐ Passport Copy

☐ Aadhaar Card Copy

☐ Birth Certificate Copy

☐ Passport Copy

☐ Court Appointment Order

☐ Court Appointment Guardian

☐ Others

(please specify)

☐ Others

(please specify)

**3. DETAILS OF OTHER APPLICANT(S)** (Please note that where the sole/1st applicant is a minor, no joint holders are allowed)

Name of 2nd Applicant ☐ Mr. ☐ Ms. ☐ M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^             (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_

Name of 3rd Applicant ☐ Mr. ☐ Ms. ☐ M/s \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_

PAN/PEKRN# \_\_\_\_\_ Aadhaar No. \_\_\_\_\_ First Unit Holder \_\_\_\_\_ KIN^ \_\_\_\_\_

Date of Birth^             (Mandatory if first applicant is a minor) Mobile No. +91- \_\_\_\_\_ E-mail Id \_\_\_\_\_

\*Investors providing e-mail id will receive Account Statements, Annual Report & other communication over e-mail. If you however wish to receive this communication in your registered postal address, please tick here ☐

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. <sup>#</sup>PEKRN required for Micro investments upto Rs. 50,000 in a year.

<sup>^</sup> 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)**

Received from \_\_\_\_\_ an application for

investment in Scheme L&amp;T \_\_\_\_\_ Option \_\_\_\_\_

App. No.

Investment Type (✓) ☐ Lumpsum ☐ SIP ☐ Micro SIP ☐ Multi-Scheme SIP ☐ Multi-Scheme LumpsumInvestment Cheque Details : Instrument number \_\_\_\_\_ Rs. \_\_\_\_\_ Dated            

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_

For Office Use Only

Acknowledgement  
Stamp & Date

**4. Address (Address as per KRA records will overwrite this address if you are KYC compliant)**Correspondence Address \_\_\_\_\_  
\_\_\_\_\_

City/Town \_\_\_\_\_ Pin \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_

Overseas Address (Mandatory for NRIs/PIOs) \_\_\_\_\_  
\_\_\_\_\_

City/Town \_\_\_\_\_ Pin \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_

Tel (R) (ISD) (STD) \_\_\_\_\_ Tel (O) (ISD) (STD) \_\_\_\_\_ Fax (ISD) (STD) \_\_\_\_\_**5. Tax status of Sole/First Applicant (Please ✓)**

<input type="radio"/> Resident Indian Individual	<input type="radio"/> Company/Body Corporate	<input type="radio"/> Defence Establishment	<input type="radio"/> Society
<input type="radio"/> Non Resident Indian Individual (NRI)	<input type="radio"/> Financial Institutions	<input type="radio"/> Hindu Undivided Family (HUF)	<input type="radio"/> Mutual Fund
<input type="radio"/> Person of Indian Origin (PIO)	<input type="radio"/> Limited Liability Partnership (LLP)	<input type="radio"/> Non Govt. Organization (NGO)	<input type="radio"/> Trust
<input type="radio"/> Foreign Portfolio Investor (FPI)	<input type="radio"/> Partnership Firm	<input type="radio"/> Association of Persons (AOP)/Body of Individuals(BOI)	<input type="radio"/> Others _____
<input type="radio"/> Foreign National Residing in India	<input type="radio"/> Foreign Institutional Investor (FII)	<input type="radio"/> Bank	Are you a Non Profit Organization (NPO) <input type="checkbox"/> Yes <input type="checkbox"/> No

**6. BANK ACCOUNT INFORMATION (Mandatory for receiving Redemption/Dividend payments)**

Account Number \_\_\_\_\_ Account Type: ☐ Savings ☐ Current ☐ NRE ☐ NRO  
Please ✓ any one ☐ FCNR ☐ Others \_\_\_\_\_

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_

City \_\_\_\_\_ IFSC \_\_\_\_\_ MICR \_\_\_\_\_

If you are not making the investment from the above mentioned bank account, please attach an original cancelled cheque leaf of the above account with the name of the first holder printed.

**7. MODE OF HOLDING**Please ✓ ☐ Sole/1st Holder only ☐ Any one or Survivor\* ☐ Joint  
(If the mode of operation is not specified, for folios opened with more than one applicant, the mode of operation would be taken as "Any one or Survivor")**8. POWER OF ATTORNEY (PoA) HOLDER DETAILS**If your investment is being made by a Constituted Attorney on your behalf, please furnish the below details and enclose a original **notarised copy** of the Power of Attorney for registering the same:POA Holder's Name ☐ Mr. ☐ Ms. \_\_\_\_\_ First Name \_\_\_\_\_ Middle Name \_\_\_\_\_ Last Name \_\_\_\_\_POA for ☐ Sole / First Applicant ☐ Second Applicant ☐ Third Applicant E-mail Id \_\_\_\_\_PAN of POA Holder \_\_\_\_\_ KIN<sup>^</sup> \_\_\_\_\_ Date of Birth<sup>^</sup> D D M M Y Y Y Y(POA Holder needs to comply with applicable KYC requirements). <sup>^</sup> 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).**9. DEMAT ACCOUNT INFORMATION (Mandatory for crediting units in demat account)**If you wish to hold your investment in dematerialised mode please furnish the below details and **enclose a copy of the Client Master** that you may have received from your Depository Participant. ☐ NSDL ☐ CDSL

NSDL/CDSL: Depository Participant Name \_\_\_\_\_

Depository Participant ID \_\_\_\_\_ Beneficiary A/c No. \_\_\_\_\_

Enclosed: ☐ Client Master ☐ Transaction / Statement Copy / DIS Copy

Subject to realisation of cheque and furnishing of mandatory information/documents. Please retain this slip till you receive your Account Statement.

call 1800 2000 400 or 1800 4190 200

email investor.line@Intmf.co.in

www.ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday

**10. INVESTMENT & PAYMENT INFORMATION (Please ensure that the cheque complies to the CTS 2010 standards)**

**1. Investment Type** (✓) ☐ Lumpsum ☐ SIP ☐ Multi-Scheme Lumpsum ☐ Multi-Scheme SIP (Please fill Multi-Scheme SIP Investment Form)  
☐ Micro SIP (For SIP/Micro SIP, please fill SIP Investment Form)

**For Lumpsum & SIP Investment (Please issue cheque favouring scheme name)**

Investment Amount (₹) \_\_\_\_\_ DD Charges (if applicable ₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Scheme Name L&T \_\_\_\_\_ Option (✓) ☐ Growth\* ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus^

Dividend Frequency (✓ wherever applicable) ☐ Daily ☐ Weekly ☐ Monthly\* ☐ Quarterly ☐ Annual^ ☐ Semi-Annual^

**For Multi-Scheme SIP/Multi-Scheme Lumpsum (Please issue cheque favouring L&T MF Multi-Scheme SIP and L&T MF Multi Scheme Lumpsum respectively)**

Total Investment Amount (₹) \_\_\_\_\_ DD Charges (if applicable ₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Scheme 1 : L&T _____	Option (✓) <input type="radio"/> Growth* <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment <input type="radio"/> Bonus^
Amount (₹) _____	Dividend Frequency _____
Scheme 2 : L&T _____	Option (✓) <input type="radio"/> Growth* <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment <input type="radio"/> Bonus^
Amount (₹) _____	Dividend Frequency _____
Scheme 3 : L&T _____	Option (✓) <input type="radio"/> Growth* <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment <input type="radio"/> Bonus^
Amount (₹) _____	Dividend Frequency _____

**2. Payment Details : For Lumpsum and SIP/Multi-Scheme SIP/Multi-Scheme Lumpsum**

☐ Cheque / DD / Pay Order ☐ Electronic Transfer ☐ One Time Mandate (OTM) (for Lumpsum and SIP Investment)

If cheque / DD / Pay Order, please fill Instrument No. \_\_\_\_\_ Instrument Date 

D	D	M	M	Y	Y	Y	Y
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Instrument Amount \_\_\_\_\_ DD Charges (if applicable ₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_

Drawn on 

Bank Name	Bank Branch	Bank City
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Account Type (✓) ☐ Saving ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others \_\_\_\_\_

If electronic transfer, please fill UTR No. \_\_\_\_\_

Amount \_\_\_\_\_ Debit Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

If One Time Mandate, Please fill, Unique Mandate Reference Number (UMRN) \_\_\_\_\_

Amount \_\_\_\_\_ Debit Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

If electronic transfer, please fill UTR No. \_\_\_\_\_

Debit Bank Name \_\_\_\_\_ Account No. \_\_\_\_\_

\*Default option if not selected ^Available in select schemes only (Default plan / option / sub option will be applied incase of no information, ambiguity or discrepancy)

Document attached to avoid Third Party Payment rejection, wherever applicable : ☐ Banker's Certificate for DD ☐ Third Party Payment Declaration Form

**11. KYC DETAILS (Mandatory. If left blank the application is liable to be rejected)**

CATEGORIES	First Applicant/ Guardian	Second Applicant	Third Applicant																								
<b>Gross Annual Income (For Individuals and Non Individuals)</b>	<input type="radio"/> Below 1 lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> 25 Lacs - 1 crore <input type="radio"/> > 1 Crore Net-worth in (Mandatory for Non-Individuals) (₹) _____ as on <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y	<input type="radio"/> Below 1 lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> 25 Lacs - 1 crore <input type="radio"/> > 1 Crore Net-worth (₹) _____ as on <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y	<input type="radio"/> Below 1 lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> 25 Lacs - 1 crore <input type="radio"/> > 1 Crore Net-worth (₹) _____ as on <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y																				
D	D	M	M	Y	Y	Y	Y																				
D	D	M	M	Y	Y	Y	Y																				
<b>Occupation Details (For Individuals only)</b>	<input type="radio"/> Private Sector Service <input type="radio"/> Retired <input type="radio"/> Public Sector Service <input type="radio"/> Student <input type="radio"/> Government Service <input type="radio"/> Forex Dealer <input type="radio"/> Business <input type="radio"/> Agriculturist <input type="radio"/> Professional <input type="radio"/> Housewife <input type="radio"/> Others Please specify _____	<input type="radio"/> Private Sector Service <input type="radio"/> Retired <input type="radio"/> Public Sector Service <input type="radio"/> Student <input type="radio"/> Government Service <input type="radio"/> Forex Dealer <input type="radio"/> Business <input type="radio"/> Agriculturist <input type="radio"/> Professional <input type="radio"/> Housewife <input type="radio"/> Others Please specify _____	<input type="radio"/> Private Sector Service <input type="radio"/> Retired <input type="radio"/> Public Sector Service <input type="radio"/> Student <input type="radio"/> Government Service <input type="radio"/> Forex Dealer <input type="radio"/> Business <input type="radio"/> Agriculturist <input type="radio"/> Professional <input type="radio"/> Housewife <input type="radio"/> Others Please specify _____																								
<b>Others (For Individuals only)</b>	<input type="radio"/> I am politically Exposed Person <input type="radio"/> I am Related to Politically Exposed Person <input type="radio"/> Not Applicable	<input type="radio"/> I am politically Exposed Person <input type="radio"/> I am Related to Politically Exposed Person <input type="radio"/> Not Applicable	<input type="radio"/> I am politically Exposed Person <input type="radio"/> I am Related to Politically Exposed Person <input type="radio"/> Not Applicable																								
<b>Additional KYC Details for Non-Individuals</b>																											
<b>Others (For Non-Individuals only)</b>	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If No, please attach Ultimate Beneficiary Ownership Declaration mandatorily) <input type="radio"/> YES <input type="radio"/> NO																										
	If the Entity involved/providing any of the following services: <input type="radio"/> YES (Please ✓ from below) <input type="radio"/> NO <input type="radio"/> Gaming/Gambling/Lottery/Casino Services <input type="radio"/> Foreign Exchange/ Money Changer Services <input type="radio"/> Money Lending/Pawning																										

12. INFORMATION REQUIRED FOR TAX REPORTING (Mandatory. If left blank the application is liable to be rejected)				
FOR INDIVIDUALS: The below information is required for all applicant(s)/Guardian including Sole proprietor and POA Holder.				
	Sole/First Applicant/Guardian	Second Applicant	Third Applicant	POA Holder
I am a tax resident of India and not a resident of any other country	<input type="radio"/> Yes	<input type="radio"/> Yes	<input type="radio"/> Yes	<input type="radio"/> Yes
	<input type="radio"/> No	<input type="radio"/> No	<input type="radio"/> No	<input type="radio"/> No
If No, please mandatorily enclose the <b>FATCA &amp; CRS Declaration for Individual Investors.</b>				
FOR NON-INDIVIDUALS: Please mandatorily enclose the <b>FATCA, CRS &amp; UBO Declaration for Non Individuals with all the sections filled.</b>				

13. NOMINATION DETAILS (Please note that where the sole/1st applicant is a minor, no nomination is allowed)	
(Please ✓) <input type="radio"/> I/We wish to Nominate <input type="radio"/> I/We do not wish to Nominate	

I/We do hereby nominate the person(s) named below to receive the units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to Nominee(s), and signature(s) of the Nominee(s) acknowledging receipt thereof, will be noted as be a valid discharge by the AMC/Mutual Fund/Trustee. This instruction supercedes all previous nominations made by me/us in respect of the folio indicated above.

Particulars	1st Nominee	2nd Nominee	3rd Nominee
Name			
Date of Birth (in case nominee is a minor)	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>
Guardian Name (in case nominee is a minor)			
Address			
City			
State			
Country			
Pincode			
Allocation %			
Signature of Guardian (if nominee is minor) (mandatory)			
Signature of Nominee			

14. DECLARATION & SIGNATURES	
<p>I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the aforesaid Scheme(s) of L&amp;T Mutual Fund including the sections on "Who cannot invest", "Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS)" ("Reporting Guidelines") and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/purchase of Units in the Scheme(s) and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am/are authorised to make this investment and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any authority in India. I/We hereby authorise L&amp;T Mutual Fund ("the Fund"), its Investment Manager ("LTIM") and its agents to disclose details of my investment to my bank(s)/ Fund's bank(s) and/or Distributor/ Broker/Investment Adviser/any governmental or regulatory authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme(s) is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated.</p> <p>I/We accept and agree to abide by the terms and conditions (as mentioned on HYPERLINK "www.ltfs.com") with respect to my/our dealings with L&amp;T Mutual Fund/its Investment Manager through various channels.</p> <p>In case there is any change in the information (especially pertaining to Reporting Guidelines) already provided to LTIM / Fund, I/We agree that I/We shall inform the same to LTIM/Fund within 30 days of the change. I/We authorize update of the records (including pertaining to the Reporting Guidelines) basis the information / documents received by LTIM/Fund/Registrar and Transfer Agent ("RTA") from other SEBI Registered Intermediaries. I/ We authorize LTIML/Fund/RTA, to share the information provided by me / us with other SEBI Registered Intermediaries to facilitate single submission /update. I / We authorize LTIM/ Fund/RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from the my/our account or close or suspend my/our account(s) under intimation me/us."</p> <p><b>APPLICABLE FOR NON-ADVISORY TRANSACTIONS ONLY:</b></p> <p>I/We, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI Circular No. CIR/IMD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same. On such transaction(s), I am not being charged any kind of transaction fee(s) by the AMFI registered distributor. On this transaction, the distributor would be compensated by the Mutual Fund House/Asset Management Company concerned in lines with the commission rate(s)disclosed by the distributor.</p> <p><b>*APPLICABLE FOR NRIs/PIOs/FIIs/FPIs INVESTING ON REPATRIATION BASIS ONLY:</b> I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.</p> <p><b>APPLICABLE FOR INVESTMENT THROUGH RIA (REGISTERED INVESTMENT ADVISER) :</b></p> <p>I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan to the above mentioned SEBI Registered Investment Adviser.</p>	

Date: 

D

D

M

M

Y

Y

Y

Y

 Sole/First Applicant/Guardian	 Second Applicant	 Third Applicant
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## GENERAL INSTRUCTIONS FOR COMMON APPLICATION FORM

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

1. **Existing Unit Holders:** If you hold a folio with us, please furnish the Folio Number, Name and PAN of Sole/First Applicant in Section 1 of the Form. Your investment would be processed in the specified folio.

Your personal information and bank account details would apply to this investment as well.

2. **New Applicants:** New Applicants need to provide their personal information in Section 2 of the Form.

If there are more than one applicants and the mode of operation of the account is not indicated, the same would be treated as "Any one or Survivor".

### In case of Non-Individual Applicants :

- a. SEBI has mandated identification of Ultimate Beneficial Owners for non-individual investors other than listed companies (and majority owned subsidiary of such companies).

If the applicant investing is not the ultimate beneficiary owner, please also fill up the Declaration For 'Ultimate Beneficiary Ownership' form to furnish details of such person(s).

- b. The Foreign Account Tax Compliance Act (FATCA) of the USA, stipulates identification of US account holders and reporting of details related to US Account Holders to the Inland Revenue Service (IRS) in the U.S. India has agreed to sign the Inter-Governmental Agreement with USA which inter alia includes reporting of holdings and transactions in specified US Accounts. Accordingly, certain additional information are being sought from investors. Non-Individual investors also need to indicate if they qualify under any of the heads of classification stipulated under FATCA.

3. **Investments by Minor Applicants:** If the Sole/First applicant is a minor i.e. below 18 years of age:

- a. details of Guardian for the minor applicant needs to be provided
- b. the relationship of the Guardian with the Applicant needs to be indicated in the form
- c. proof of date of birth of the applicant and the relationship of the guardian with the applicant needs to be provided
- d. the Guardian's PAN needs to be provided
- e. the Guardian needs to comply with applicable KYC requirements.

Please further note that joint applicants are not permitted where the Sole / First applicant is minor. Please also note that a minor cannot be a joint applicant in a folio.

4. **Investments by Attorneys:** Where the investment is being made by a Constituted Attorney on behalf of the applicant,

- a. the name and PAN of the POA Holder needs to be provided in the form
- b. a notarized copy of the Power of Attorney duly signed by the Applicant & the Constituted Attorney needs to be attached with the application. Alternatively, the original POA can be submitted which will be returned after verification.
- c. nomination on behalf of an applicant signed by an attorney would not be registered.
- d. The Fund House reserves the right to hold redemption proceeds in case the requisite documents are not submitted.

5. **Micro Investments:** As per AMFI notification and guidelines issued on July 4, 2009, SIPs or lumpsum purchases by eligible investors in a rolling 12 month period or in a financial year not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.

- a. The exemption of PAN requirement is only available to individuals.
- b. In case of investments held jointly, the first holder must not possess a PAN.
- c. Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
- d. Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

6. **KYC (Know Your Customer):**

- a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.
- b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site (www.Intmf.com) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature

of business, address and certain details of Promoters/Partners/ Trustees/Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies ( KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

- c. If the investment is being made on behalf of a minor applicant, the Guardian needs to comply with KYC requirements.
- d. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

7. **Address & Contact Information:**

- a. Please furnish your full address with postal code in the Application Form. PO Box Number is not sufficient
- b. Please note that your address on our records would be automatically updated with your address appearing in the records of the KRA. If your address in the application form is different from what appears in the records of the KRA, please ensure that your latest address is updated in the records of the KRA.
- c. Please provide the **Mobile Number** and **E-Mail Address** of the Sole / First Applicant in case of Individual Applicant and Key Contact Person in case of Non-Individual Applicants in the form. This would help us seamlessly communicate with you on your investments.

8. **Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

9. **Demat Account:** If you wish to hold your investments in the Dematerialised mode, please provide the name and DP ID of your Depository Participant and your Beneficiary Account Number. Please also attach a copy of the Client Master that you may have received from your Depository Participant.

**Please ensure that the sequence of names in the application form matches the sequence in which the Demat account is held. If the sequence of names does not match with the Demat account details provided therein, the Units will not be transferred to the Demat Account and the Units will be held in the physical mode.**

**Please note that this is an additional facility offered to unit holders who wish to hold units in the dematerialised mode. This is not a mandatory requirement and unit holders can continue holding units physically with the mutual fund. If you do not wish to hold your mutual fund units in the dematerialised form, please strike off this section.**

If the demat account details in the application form are incomplete/incorrect or does not match with the records of the Depository, units would be allotted and held physically in the Fund's books and an Account Statement confirming the unit allotment would be sent to you..

10. **Bank Account:**

- a. Please furnish your complete Bank Account details to be registered against your folio for redemption / dividend payments.
- b. If your investment cheque is not being issued from the Bank Account indicated in the Section titled " Bank Account Information" please attach an **original cancelled cheque leaf** of this account for verification. If the cheque leaf does not have your name pre-printed, please additionally provide an extract of your bank statement or passbook duly attested evidencing the correctness of your bank details or a letter from your bank confirming your bank details.

- c. **Electronic Payments:** We offer electronic payment of redemptions and dividends. To avail this facility please furnish :

- **IFSC** - This is a 11 character code. If you don't see this printed on your cheque leaf, please ask your Bank for the same ;
- **MICR Code** - This is a 9 digit number appearing next to your cheque number ;
- **CBS Bank Account Number** - Most banks have now implemented core-banking solutions leading to a change in Account Number of customers. Please provide your latest core banking Account Number.

- d. We offer the facility to register multiple bank accounts against your investments. If you wish to avail this facility, please ask for a Multiple Bank Details Registration Form.

- e. If your units are held in the Dematerialised Form, please note that your Bank Account Details appearing in the Depository's records would be considered for redemption / dividend payments.

11. **Nomination:** You can appoint upto a maximum of 3 nominees for your investments. Please note the following with respect to nomination.

- a. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form.
- b. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder.